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501.41261X00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): ARAI et al

Serial No.: 10/085,063

Filed: March 1, 2002

For: FABRICATION METHOD OF SEMICONDUCTOR  
INTEGRATED CIRCUIT DEVICE



**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 AND 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

June 3, 2002

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find copies of documents which might be relevant in connection with examination of the above-identified application. Also enclosed is a Form, substantially equivalent to Form PTO-1449, listing each of the enclosed documents.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Accordingly, requirements of 37 CFR 1.97(b) are satisfied.

In any event, for satisfying requirements of 37 CFR 1.97(c), the following statement is made under 37 CFR 1.97(e):

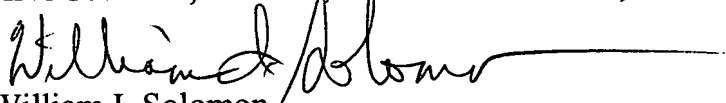
No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement, within the meaning of 37 CFR 1.7, noting that June 1, 2002 was a Saturday.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with all documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 501.41261X00) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

  
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Enclosures



Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

501.41261X00

SERIAL NO.

10/085,063

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use several sheets if necessary)

APPLICANT

ARAI, et al.

FILING DATE

March 1, 2002

GROUP

## **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	6,059,889	5-9-00	Jensen, et al.			
AB	5,901,399	5-11-99	Moinpour, et al.			
AC	5,868,857	2-9-99	Moinpour, et al.			
AD	5,976,267	11-2-99	Culkins, et al.			
AE	5,874,778	2-23-99	Bhattacharyya, et al.			
AF	6,334,229	1-1-02	Moinpour, et al.			
AG	6,309,981	10-30-01	Mayer, et al.			
AH	6,267,649	7-31-01	Lai, et al.			
AI	2001/ 0024691A1	9-27-01	Kimura, et al.			
AJ	2002/ 0006876A1	1-17-02	Hongo, et al.			
AK						
AL						

## **FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation /Abstract	
						Yes	No
AM	1174912A1	1-23-02	Europe				
AN	1167583A2	1-2-02	Europe				
AO							
AP							
AQ							
AR							
AS							
AT							

## **OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

AU	
AV	
AW	
AX	
AY	
AZ	
Examiner	Date Considered